

1064 U.S. PTO

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01-08-02

PTO/SB/05 (03-01)

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. **R0079C-REG**First Inventor **Becker, et al**Title **QUINAZOLONE DERIVATIVES AS ALPHA 1A/B  
ADRENERGIC RECEPTOR ANTAGONISTS**Express Mail Label No. **ET648477127US****APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2.  Applicant claims small entity status.  
See 37 CFR 1.27.
3.  Specification [Total Pages **98**]
- (preferred arrangement set forth below)
- Descriptive title of the Invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4.  Drawing(s) (35 U.S.C. 113) [Total Sheets ]
5. Oath or Declaration [Total Pages **3**]
- a.  Newly executed (copy)
- b.  Copy from a prior application (37 CFR 1.63 (d))  
(for a continuation/divisional with Box 18 completed)
- i.  **DELETION OF INVENTOR(S)**  
Signed statement attached deleting inventor(s)  
named in the prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
6.  Application Data Sheet. See 37 CFR 1.76

**ADDRESS TO:** Assistant Commissioner for Patents  
Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- Computer Readable Form (CRF)
  - Specification Sequence Listing on:
    - CD-ROM or CD-R (2 copies); or
    - paper
  - Statements verifying identity of above copies

**ACCOMPANYING APPLICATIONS PARTS**

9.  Assignment Papers (cover sheet & document(s))
10.  37 C.F.R. §3.73(b) Statement  Power of  
(when there is an assignee) Attorney
11.  English Translation Document (if applicable)
12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
Citations
13.  Preliminary Amendment
14.  Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15.  Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16.  Nonpublication Request under 35 U.S.C. 122  
(b)(2)(B)(i). Applicant must attach form PTO/SB/35  
or its equivalent.
17.  Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation  Divisional  Continuation-in-part (CIP)

of prior application No: \_\_\_\_\_ /

Prior application information: Examiner \_\_\_\_\_

Group / Art Unit: \_\_\_\_\_

**● Claim for Benefit of Provisional Application(s):**

This application claims the benefit under Title 35 U.S.C. 119(e) of U.S. Provisional Application No. 60/259,337, filed January 2, 2001, and 60/325,267, filed September 27, 2001.

**17. CORRESPONDENCE ADDRESS** Customer Number or Bar Code Labelor  Correspondence address below

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Address	Patent Law Dept., M/S A2-250 3401 Hillview Avenue				
City	Palo Alto	State	CA	Zip Code	94304
Country	U.S.A.	Telephone	650/ 354-7540		Fax 650/ 855-5322

Name (Print/Type)	GLORIA PFISTER	Registration No. (Attorney/Agent)	45,642
Signature			Date <b>01/02/02</b>

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Doc # 115826

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# FEE TRANSMITTAL for FY 2002

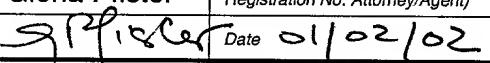
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1,082.00)

Complete if Known	
Application Number	New Application
Filing Date	
First Named Inventor	Becker, et al.
Examiner Name	
Group / Art Unit	
Attorney Docket No.	R0079C-REG

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)																																																																																																																																																														
<p>1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees, to charge any additional fees that may be required, or to credit any overpayments to:</p> <p>Deposit Account Number <b>18-1700</b></p> <p>Deposit Account Name <b>Roche Bioscience 3401 Hillview Avenue Palo Alto, CA 94304</b></p> <p><input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Fee Code</th> <th>Large Entity Fee (\$)</th> <th>Small Entity Fee Code (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td></td><td>Surcharge - late filing fee or oath</td><td></td></tr> <tr><td>127</td><td>50</td><td></td><td>Surcharge - late provisional filing fee or cover sheet</td><td></td></tr> <tr><td>139</td><td>130</td><td></td><td>Non-English specification</td><td></td></tr> <tr><td>147</td><td>2,520</td><td></td><td>For filing a request for reexamination</td><td></td></tr> <tr><td>112</td><td>920*</td><td></td><td>Requesting publication of SIR prior to Examiner action</td><td></td></tr> <tr><td>113</td><td>1,840*</td><td></td><td>Requesting publication of SIR after Examiner action</td><td></td></tr> <tr><td>115</td><td>110</td><td></td><td>Extension for reply within first month</td><td></td></tr> <tr><td>116</td><td>400</td><td></td><td>Extension for reply within second month</td><td></td></tr> <tr><td>117</td><td>920</td><td></td><td>Extension for reply within third month</td><td></td></tr> <tr><td>118</td><td>1,440</td><td></td><td>Extension for reply within fourth month</td><td></td></tr> <tr><td>128</td><td>1,960</td><td></td><td>Extension for reply within fifth month</td><td></td></tr> <tr><td>119</td><td>320</td><td></td><td>Notice of Appeal</td><td></td></tr> <tr><td>120</td><td>320</td><td></td><td>Filing a brief in support of an appeal</td><td></td></tr> <tr><td>121</td><td>280</td><td></td><td>Request for oral hearing</td><td></td></tr> <tr><td>138</td><td>1,510</td><td></td><td>Petition to institute a public use proceeding</td><td></td></tr> <tr><td>140</td><td>110</td><td></td><td>Petition to revive - unavoidable</td><td></td></tr> <tr><td>141</td><td>1,280</td><td></td><td>Petition to revive - unintentional</td><td></td></tr> <tr><td>142</td><td>1,280</td><td></td><td>Utility issue fee (or reissue)</td><td></td></tr> <tr><td>143</td><td>460</td><td></td><td>Design issue fee</td><td></td></tr> <tr><td>144</td><td>620</td><td></td><td>Plant issue fee</td><td></td></tr> <tr><td>122</td><td>130</td><td></td><td>Petitions to the Commissioner</td><td></td></tr> <tr><td>123</td><td>50</td><td></td><td>Processing fee under 37 CFR 1.17 (q)</td><td></td></tr> <tr><td>126</td><td>180</td><td></td><td>Submission of Information Disclosure Stmt</td><td></td></tr> <tr><td>581</td><td>40</td><td></td><td>Recording each patent assignment per property (times number of properties)</td><td></td></tr> <tr><td>146</td><td>740</td><td></td><td>Filing a submission after final rejection (37 CFR § 1.129(a))</td><td></td></tr> <tr><td>149</td><td>740</td><td></td><td>For each additional invention to be examined (37 CFR § 1.129(b))</td><td></td></tr> <tr><td>179</td><td>740</td><td></td><td>Request for Continued Examination (RCE)</td><td></td></tr> <tr><td>169</td><td>900</td><td></td><td>Request for expedited examination of a design application</td><td></td></tr> <tr><td colspan="4">Other fee (specify)</td><td></td></tr> <tr> <td colspan="4">*Reduced by Basic Filing Fee Paid</td> <td>SUBTOTAL (3) <b>(\$ 0)</b></td> </tr> </tbody> </table>				Fee Code	Large Entity Fee (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	105	130		Surcharge - late filing fee or oath		127	50		Surcharge - late provisional filing fee or cover sheet		139	130		Non-English specification		147	2,520		For filing a request for reexamination		112	920*		Requesting publication of SIR prior to Examiner action		113	1,840*		Requesting publication of SIR after Examiner action		115	110		Extension for reply within first month		116	400		Extension for reply within second month		117	920		Extension for reply within third month		118	1,440		Extension for reply within fourth month		128	1,960		Extension for reply within fifth month		119	320		Notice of Appeal		120	320		Filing a brief in support of an appeal		121	280		Request for oral hearing		138	1,510		Petition to institute a public use proceeding		140	110		Petition to revive - unavoidable		141	1,280		Petition to revive - unintentional		142	1,280		Utility issue fee (or reissue)		143	460		Design issue fee		144	620		Plant issue fee		122	130		Petitions to the Commissioner		123	50		Processing fee under 37 CFR 1.17 (q)		126	180		Submission of Information Disclosure Stmt		581	40		Recording each patent assignment per property (times number of properties)		146	740		Filing a submission after final rejection (37 CFR § 1.129(a))		149	740		For each additional invention to be examined (37 CFR § 1.129(b))		179	740		Request for Continued Examination (RCE)		169	900		Request for expedited examination of a design application		Other fee (specify)					*Reduced by Basic Filing Fee Paid				SUBTOTAL (3) <b>(\$ 0)</b>
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\*\*or number previously paid, if greater; For Reissues, see above

SUBMITTED BY Complete (if applicable)					
Name (Print/Type)	<b>Gloria Pfister</b>		Registration No. Attorney/Agent)	45,642	Telephone 650/ 354-7540
Signature			Date	01/02/02	

SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Doc.# 115827